

02/01/02  
jc759 U.S. PTO

02-05 0V

A

PATENT

Docket No. CIL-10782 B

Customer No. 26857

Commissioner for Patents  
Washington, DC 20231

jc978 U.S. PTO  
10/066108  
02/01/02

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):  
Sasa Bajt, James A. Folta, Eberhard A. Spiller

For (title): **OPTIMIZED CAPPING LAYERS FOR EUV MULTILAYERS**

1. Type of Application

☒ This new application is for an original patent.

☐ This new application is a:

☐ Division

☐ Continuation

☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The new application being transmitted claims the benefit of prior U.S. application(s) listed below:

09/898,833

July 3, 2001

Application Serial No.

Filing Date

3. oBenefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No.

Filing Date

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

12 Pages of specification

7 Pages of claims

1 Pages of abstract

3 Sheets of drawings

☐ formal

5. Additional papers enclosed

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
- ☒ All the inventors
  - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
  - ☐ Not Enclosed

7. Assignment

- ☐ An assignment of the invention to The Regents of the University of California.
  - ☒ is attached
  - ☐ will follow

8. Certified Copy


Certified copy(ies) of application(s)

|           |                   |         |
|-----------|-------------------|---------|
| (country) | (application no.) | (filed) |
| (country) | (application no.) | (filed) |

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. Fee Calculation

| CLAIMS AS FILED   |              |                       |              |                  |              |
|---|--------------|-----------------------|--------------|------------------|--------------|
| Type of Claim   | Number Filed | Included in Basic Fee | Number Extra | Rate             | Total Fee    |
| Total Claims  | 35           | -20 =                 | 15           | x \$18 =         | \$ 270.00    |
| Independent Claims  | 2            | -3 =                  |              | x \$80 =         | \$ 00.00     |
|  |              |                       |              | Multiple Claims  | = \$         |
|   |              |                       |              | Basic Filing Fee | = \$ 740.00  |
|   |              |                       |              | Total            | = \$ 1010.00 |

10. Small Entity Statement(s)

- ☐ This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ \_\_\_\_\_

11. Fee Payment

- ☐ Not Enclosed  
☐ Enclosed

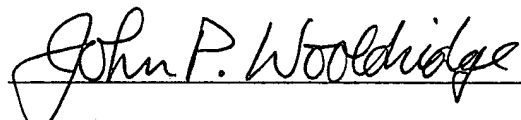
Total Basic Filing Fees To Be Paid \$ 1010.00

12. Method of Payment of Fees

- ☐ Check in the Amount of .00  
☐ Authorization is hereby \$1010.00  
given to deduct the total  
fee from Deposit Account  
501913  
A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

- ☐ credit/charge  
Account No. 501913  
☐ refund



John P. Wooldridge

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Dated: February 1, 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sasa Bajt et al.

Docket No. : CIL-10782 B

Serial No. :

Art Unit:

Filed : February 1, 2002

Examiner:

For : OPTIMIZED CAPPING LAYERS FOR EUV MULTILAYERS

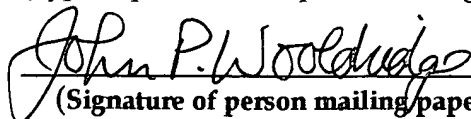
Commissioner for Patents  
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number: EL872018630USDate of Deposit: February 1, 2002I hereby certify that the following *attached*

1. New Application Transmittal (3 pages) (in duplicate)
2. Recordation Cover Sheet (1 page) (in duplicate)
3. Assignment (1 page)
4. Combined Declaration and Power of Attorney (3 pages)
5. Application  
(Specification 12 pages, Claims 7 pages, Abstract 1 page)  
Three (3) sheets of formal drawings;
6. Express Mail Certificate
7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

John P. Wooldridge

(Type or print name of person mailing paper)

  
(Signature of person mailing paper or fee)